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# NOTICE OF ALLOWANCE AND FEE(S) DUE

24239 7590 1222/2008
MOORE & VAN ALLEN PLLC
P.O. BOX 13706
Research Triangle Park, NC 27709

EXAMINER

LEWIS, PATRICK T

ART UNIT PAPER NUMBER

DATE MAILED: 12/22/2008

| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |  |  |
|--|-------------|----------------------|---------------------|------------------|--|--|--|
| 10/518,108   | 12/10/2004  | Lai-Xi Wang          | 014835-187.02-002   | 3314             |  |  |  |
| TITLE OF INVENTION: SCAFFOLDED MALEIMIDE CLUSTERS FOR MULTIVALENT PEPTIDE ASSEMBLY |             |                      |                     |                  |  |  |  |

 APPLN TYPE
 SMALL ENTITY
 ISSUE FEE DUE
 PUBLICATION FEE DUE
 PREV. PAID ISSUE FEE
 TOTAL FEES) DUE
 DATE DUE

 nonprovisional
 YES
 \$755
 \$300
 \$0
 \$1055
 03/23/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and I/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further<br>indicated unless correct<br>maintenance fee notifica  | correspondence includir<br>ed below or directed oth  | of transmitting the 1336<br>ig the Patent, advance of<br>herwise in Block 1, by (a   | rders and notification of r<br>a) specifying a new corre  | naintenance fees wi<br>spondence address;   | ill be<br>and/or                              | mailed to the current<br>(b) indicating a sepa  | correspondence address as<br>trate "FEE ADDRESS" for  |
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|   | ENCE ADDRESS (Note: Use Bi   | ock 1 for any change of address)   | Not<br>Fee<br>pap   | e: A certificate of r<br>(s) Transmittal. This<br>ers. Each additional<br>e its own certificate.                | nailing<br>certil<br>paper<br>of mai          | can only be used for<br>icate cannot be used for<br>such as an assignmenting or transmission.   | r domestic mailings of the<br>or any other accompanying<br>nt or formal drawing, must   |
| 24239<br>MOORE & VA<br>P.O. BOX 1370  | 7590 12/22<br>AN ALLEN PLLC<br>6   |  | I be  | Cert  | ificate                                       | of Mailing or Trans   |   |
|   | le Park, NC 27709  |  | tran  | smitted to the USPI   | Stop<br>O (57                                 | I) 273-2885, on the d   | above, or being facsimile<br>ate indicated below.   |
|   |  |  | <u> </u>  |   |   |   | (Depositor's name)  |
|   |  |  | <u> </u>  |   |   |   | (Signature)   |
|   |  |  | L   |   |   |   | (Date)  |
| APPLICATION NO.   | FILING DATE  |  | FIRST NAMED INVENTOR  |   |   | RNEY DOCKET NO.   | CONFIRMATION NO.  |
| 10/518,108<br>TITLE OF INVENTION  | 12/I0/2004<br>SCAFFOLDED MALE  | EIMIDE CLUSTERS FO   | Lai-Xi Wang<br>R MULTIVALENT PEPT   | DE ASSEMBLY   | 014   | 835-187.02-002  | 3314  |
|   |  |  |   |   |   |   |   |
|   |  |  |   |   |   |   |   |
| APPLN, TYPE   | SMALL ENTITY   | ISSUE FEE DUE  | PUBLICATION FEE DUE   | PREV. PAID ISSUE  | FEE   | TOTAL FEE(S) DUE  | DATE DUE  |
| nonprovisional  | YES  | \$755  | \$300   | \$0   |   | \$1055  | 03/23/2009  |
| EXAM  |  | ART UNIT   | CLASS-SUBCLASS  | J   |   |   |   |
| LEWIS, P.   |  | I623   | 514-025000  |   |   |   |   |
| CFR 1.363).  Change of corresp Address form PTO/Si  | ence address or indicatio<br>condence address (or Cha<br>B/122) attached.<br>ication (or "Fee Address<br>22 or more recent) attach                         | nge of Correspondence  | 2. For printing on the p (I) the names of up to or agents OR, alternati (2) the name of a singl registered attorney or a 2 registered patent atto listed, no name will be   | 3 registered patent<br>wely,<br>he firm (having as a<br>agent) and the name<br>rneys or agents. If n            | memb<br>s of u                                | er a 2  |   |
| PLEASE NOTE: Un<br>recordation as set for<br>(A) NAME OF ASSI   | less an assignee is ident<br>h in 37 CFR 3.11. Comp<br>GNEE  | ified below, no assignee<br>sletion of this form is NO   | (B) RESIDENCE: (CITY  | atent. If an assigne<br>assignment.<br>and STATE OR Co  | OUNT  | 'RY)  | ocument has been filed for  |
| Please check the appropr  | iate assignee category or  | categories (will not be pr   | rinted on the patent):  | Individual 🖵 Cor  | rporati                                       | on or other private gro   | oup entity Government   |
| Advance Order -   | wo small entity discount p   | permitted)   | b. Payment of Fee(s): (Ples A check is enclosed. Payment by credit car The Director is hereby overpayment, to Depo  | d. Form PTO-2038  | is atta                                       | ched.   | shown above) ficiency, or credit any n extra copy of this form).  |
| <ol> <li>Change in Entity Sta<br/>a. Applicant claim</li> </ol>   | tus (from status indicate<br>is SMALL ENTITY statu   |  | ☐ b. Applicant is no lon  | ger claiming SMAL   | LEN   | FITY status. Sec 37 CI  | R 1.27(g)(2).   |
| NOTE: The Issue Fee an<br>interest as shown by the  | d Publication Fee (if req<br>records of the United Sta   | uired) will not be accepte<br>tes Patent and Trademark   |   |   |   |   | e assignee or other party in  |
| Authorized Signature  |  |  |   |   |   |   |   |
| Typed or printed nam  |  |  |   | Registration No   |   |   |   |
| This collection of inform<br>an application. Confiden<br>submitting the complete<br>this form and/or suggest<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223 | nation is required by 37 C<br>tiality is governed by 35<br>d application form to the<br>ions for reducing this but<br>(irginia 22313-1450. DC<br>k13-1450. | FR 1.311. The informatic<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the<br>ONOT SEND FEES OR | on is required to obtain or a<br>1.14. This collection is est<br>depending upon the indivention of fice<br>COMPLETED FORMS To<br>COMPLETED FORMS To<br>COMPLETED FORMS TO<br>TO TO T | retain a benefit by the<br>timated to take 12 m<br>ridual case. Any corer, U.S. Patent and 1<br>D THIS ADDRESS. | ne publ<br>ninutes<br>mment<br>Fraden<br>SENI | tic which is to file (and<br>to complete, including<br>s on the amount of tire<br>ark Office, U.S. Deptor of the commissioner of the co | by the USPTO to process)<br>g gathering, preparing, and<br>ne you require to complete<br>artment of Commerce, P.O.<br>for Patents, P.O. Box 1450, |

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PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



# UNITED STATES PATENT AND TRADEMARK OFFICE

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DATE MAILED: 12/22/2008

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|----------------------------------|-----------------|----------------------|---------------------|------------------|--|--|
| 10/518,108                       | 12/10/2004      | Lai-Xi Wang          | 014835-187.02-002   | 3314             |  |  |
| 24239                            | 7590 12/22/2008 |                      | EXAMINER            |                  |  |  |
| MOORE & VAN ALLEN PLLC           |                 |                      | LEWIS, PATRICK T    |                  |  |  |
| P.O. BOX 13706                   |                 | ART UNIT             | PAPER NUMBER        |                  |  |  |
| Research Triangle Park, NC 27709 |                 |                      | 1623                |                  |  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 368 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 368 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Application No. Applicant(s) 10/518,108 WANG ET AL. Notice of Allowability Examiner Art Unit Patrick T Lewis 1623 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to RCE dated 12/2/2008. The allowed claim(s) is/are 1,2,4,15,19,25,26,28,29 and 37. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) $\square$ All b) ☐ Some\* c) ☐ None of the: 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date 3. Information Disclosure Statements (PTO/SB/08), 7. Examiner's Amendment/Comment Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit 8. X Examiner's Statement of Reasons for Allowance of Biological Material Other . /Patrick T. Lewis/

Primary Examiner, Art Unit 1623

Application/Control Number: 10/518,108

Art Unit: 1623

# Allowable Subject Matter

1. The following is an examiner's statement of reasons for allowance: Brask is representative of the prior art at the time of the invention. Brask teaches multifunctional, topological template molecules such as linear and cyclic peptides have been used for the attachment of peptide strands to form novel protein models (Abstract). Brask differs from the instant invention in that Brask does not teach a TASP containing maleimide functions. Additionally, Garnett Advanced Drug Delivery Reviews (2001), Vol. 53, pages 171-216 (Garnett) teaches that disulphide bond-linked conjugates prepared with a cysteine linker to SPDP-modified antibody showed a similar cytotoxicity to amide-linked MTX, but a conjugate containing a cysteamine linker was much less cytotoxic.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

# Election/Restrictions

2. The restriction requirement as set forth in the Office action mailed on July 9, 2007 is hereby withdrawn. In view of the withdrawal of the restriction requirement as to the rejoined inventions, applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Once the restriction requirement is withdrawn, the provisions of

Art Unit: 1623

35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 443 F.2d 1211, 1215, 170

USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

Contacts

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Patrick T. Lewis whose telephone number is 571-272-

0655. The examiner can normally be reached on Monday - Friday 10 am to 3 pm (Maxi

Flex).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Shaojia A. Jiang can be reached on 571-272-0627. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Patrick T. Lewis/ Primary Examiner, Art Unit 1623

/PI /